

A review of vertex algebras
and chiral algebras

Shenzhen - Nagoya Workshop on
Quantum Science 2023

Yusuke Nishinaka (Nagoya)

§. Introduction

| 2 / 16

- **Vertex algebras** were introduced by Borcherds (1986), and they are known as an algebraic framework of two-dimensional **conformal field theory (CFT)**.
- On the other hand, there is a geometric framework of CFT, which is called a **chiral algebra**. This notion was introduced by Beilinson and Drinfeld (2008) using **D-modules and operads**.

(1) X : smooth curve
 \mathcal{V} : (quasi) CVA $\Bigg) \rightsquigarrow L_X^\dagger$: chiral algebra on X .

(2) The **chiral operad** P^{ch} and its algebraic counterpart.

§. Vertex Algebras

3/16

- V : \mathbb{C} -linear space (space of state)
- $T: V \rightarrow V$ (translation operator)
- $|0\rangle \in V$ (vacuum)
- $\Upsilon(a, z) = \sum_{n \in \mathbb{Z}} z^{-n-1} a_{(n)}$
vertex operator of $a \in V$
- $\Upsilon(-, z): V \rightarrow (\text{End } V)[[z^{\pm 1}]]$ (state-field correspondence)

OPE Operator Product Expansion

$$[\Upsilon(a, z), \Upsilon(h, w)] = \sum_{n \geq 0} (\partial_w^n \delta(z, w) / n!) \Upsilon(a_{(n)} h, w)$$

$$\text{of } \Upsilon(a, z) \Upsilon(h, w) \sim \sum_{n \geq 0} \frac{\Upsilon(a_{(n)} h, w)}{(z-w)^{n+1}} \quad \leftarrow \text{in physics literature}$$

$$\iff [a_{(m)}, h_{(n)}] = \sum_{k \geq 0} (a_{(k)} h)_{(m+n-k)}$$

Exm (affine vertex algebra, (KZV model) | 4/16

• \mathfrak{g} : finite dimensional simple Lie algebra / \mathbb{C}

• $\hat{\mathfrak{g}} = \mathfrak{g}[t, t^{-1}] \oplus \mathbb{C}k$: affine Lie algebra.

$$[a_m, h_n] = [a, h]_{m+n} + m \delta_{m+n,0} (a|h) k$$

• For $\mathfrak{k} \in \mathbb{C}$,

$$a_m := a \otimes t^m,$$

$$V_{\mathfrak{k}}(\hat{\mathfrak{g}}) := \text{Ind}_{\hat{\mathfrak{g}}[t, t^{-1}] \oplus \mathbb{C}k}^{\hat{\mathfrak{g}}} \mathbb{C}|\mathfrak{k}\rangle$$

where $a_m |\mathfrak{k}\rangle = 0$ ($a \in \mathfrak{g}, m \geq 0$), $k |\mathfrak{k}\rangle = \mathfrak{k} |\mathfrak{k}\rangle$

$V_{\mathfrak{k}}(\hat{\mathfrak{g}})$ has a unique VA structure such that

• $|\mathfrak{k}\rangle$ is the vacuum. ← omitting

• $\Upsilon(a_{-1} |\mathfrak{k}\rangle, \mathbb{Z}) = \sum_{n \in \mathbb{Z}} \bar{z}^{-n-1} a_n$ the definition of T

Conformal vertex algebras

5/16

A vertex algebra which has the
"internal symmetry of the Virasoro algebra".

A CVA consists of

- V : vertex algebra
- $\omega \in V$, called Virasoro vector
- $c \in \mathbb{C}$, called central charge

such that

↙ fundamental relation of Virasoro algebra

$$[L_m, L_n] = (m-n)L_{m+n} + \frac{1}{2}(m^3-m)\delta_{m+n,0}c$$

where $\Upsilon(\omega, z) = \sum_{n \in \mathbb{Z}} z^{-n-2} L_n$.

Exm (Sugawara construction)

6/16

For $\mathfrak{k} = -\mathfrak{h}^\vee$, dual Coxeter number of \mathfrak{g} .

$\mathbb{V}_{\mathfrak{k}}(\mathfrak{g})$ is a CVT of central charge $c = \frac{\mathfrak{k} \cdot \dim \mathfrak{g}}{\mathfrak{k} + \mathfrak{h}^\vee}$

by the Virasoro vector

$$\omega = \frac{1}{2(\mathfrak{k} + \mathfrak{h}^\vee)} \sum_{a=1}^{\dim \mathfrak{g}} J_{a,-1} J_{-1}^a |\mathfrak{k}\rangle$$

where

- $\{J^a\}$: basis of \mathfrak{g}
- $\{J_a\}$: dual basis with respect to

$$(-|-) := \frac{1}{2\mathfrak{h}^\vee} (-|-)_{\text{killing}} : \mathfrak{g} \otimes \mathfrak{g} \rightarrow \mathbb{C}$$

↑ normalised invariant form.

§. Geometric description of vertex algebras 7/16

- (X, \mathcal{O}_X) : smooth algebraic curve / \mathbb{C}
- V : (quasi) conformal vertex algebra .

One can construct the "VA bundle" :

$$V_X = \underset{\text{Auto}}{\text{Aut}_X} \times V \longrightarrow X \quad \text{c.f.) chapter 6 of [Frenkel, Ben-Zvi, 2001]}$$

$$V_{X,x} \cong V \quad \text{for each } x \in X .$$

Let \mathcal{L}_X be the sheaf of sections of V_X .

Then :

- For $x \in X$ and a local coordinate (U, \mathbb{Z}) of x ,

$$\mathcal{L}_X|_U \cong V \otimes_{\mathbb{C}} \mathcal{O}_X|_U$$

The \mathcal{O}_X -module \mathcal{L}_X has the flat connection ∇ | 8/16
 defined as follows: flatness is trivial since X is a curve

For $x \in X$, take a local coordinate (U, \mathbb{Z}) of x ,
 and define

$$\nabla_{\partial_{\mathbb{Z}}} : \mathcal{L}_X|_U \rightarrow \mathcal{L}_X|_U$$

$$\mathcal{L}_X|_U \cong \mathcal{V} \otimes_{\mathbb{C}} \mathcal{O}_X|_U$$

$$\mathcal{V} \otimes f \mapsto \mathcal{V} \otimes \partial_{\mathbb{Z}} f + \underbrace{L_{-1} \mathcal{V}}_{\text{curv}} \otimes f$$

\mathcal{V} is also operator of \mathcal{V}

This gives rise to the connection ∇ .

(Independent of the choice of local coordinates)

\mathcal{L}_X has the left D_X -module structure by ∇ .

Let L_x^\dagger be the corresponding right D_x -module :

$$L_x^\dagger := L_x \otimes_{O_x} \omega_x .$$

9/16

L_x^\dagger is not just a D -module .

It has the operation

$$\mu : (L_x^\dagger \boxtimes L_x^\dagger)(\infty \Delta) \longrightarrow \Delta_* L_x^\dagger$$

satisfying

$\Delta : X \rightarrow X^2$: diagonal embedding .

(1) (skew-symmetry) $\mu^{(2,1)} = -\mu$.

(2) (Jacobi identity) $\mu_{1, \{2,3\}} = \mu_{\{1,2\}, 3} + \mu_{2, \{1,3\}}$

c.f.) chapter 19 of [Frenkel-BenZvi] .

Chiral algebra

10/16

Generalizing the structure of D_X^+ , we arrive at the notion of **chiral algebras**.

- A : right D_X -module.
- $\mu: (A \boxtimes A)(\infty \Delta) \rightarrow \Delta_* A$, called **chiral operation** satisfying "skew-symmetry" and "Jacobi identity".

To describe the composition of the chiral operation, Beilinson and Drinfeld introduced the **chiral operad**.

$$\mathcal{P}^{\text{ch}}(n) = \text{Hom}_{D_{X^n}}(A^{\boxtimes n}(\infty \bigcup_{1 \leq i < j \leq n} \Delta_{i,j}^{(n)}), \Delta_* A).$$

$\Delta_{i,j}^{(n)} \subset X^n$: (i,j) -diagonal. $\Delta^{(n)} \subset X^n$: small diagonal

§. Operad

11/16

A device that describes the composition of operations.

Consider n -operations $f: V^{\otimes n} \rightarrow V$:

(1). Operations can be composited:

For $f_k: V^{\otimes m_k} \rightarrow V$ and $g: V^{\otimes n} \rightarrow V$, we have

$$g \circ (f_1 \otimes \dots \otimes f_n): V^{\otimes (m_1 + \dots + m_n)} \rightarrow V.$$

(2). There is an "unit" operation: $\text{id}_V: V \rightarrow V$.

(3). The input of the operations can be swapped:

$$f: V^{\otimes 2} \rightarrow V \rightsquigarrow f^{(1,2)}: V \otimes V \mapsto f(V \otimes V).$$

In general, we have $f^\sigma: V^{\otimes n} \rightarrow V$

for $f: V^{\otimes n} \rightarrow V$ and $\sigma \in S_n$.

The notion of operads is a generalization of (1) ~ (3).

12/16

An **Operad** consists of

• $\mathcal{P} = \{\mathcal{P}(n)\}_{n \in \mathbb{N}}$ where $\mathcal{P}(n)$: right $\mathbb{C}[S_n]$ -module, called **S -module**

• For $n \in \mathbb{N}$ and $m_1, \dots, m_n \in \mathbb{N}$, a linear map

$$\gamma_{m_1, \dots, m_n} : \mathcal{P}(n) \otimes \mathcal{P}(m_1) \otimes \dots \otimes \mathcal{P}(m_n) \rightarrow \mathcal{P}(m_1 + \dots + m_n)$$
$$f \otimes x_1 \otimes \dots \otimes x_n \quad \mapsto \quad f \circ (x_1 \otimes \dots \otimes x_n)$$

called **composition map**.

• An element $id \in \mathcal{P}(1)$, called **unit**.

Exm (endomorphism operad)

13/16

Let V be a linear space.

• For $n \in \mathbb{N}$,

$$\text{End}_V(n) := \text{Hom}_K(V^{\otimes n}, V) \hookrightarrow \mathcal{S}_n$$

$$f^\circ: V^{\otimes n} \rightarrow V, \quad v_1 \otimes \dots \otimes v_n \mapsto f(v_1^{\otimes -1(1)} \otimes \dots \otimes v_n^{\otimes -1(n)})$$

• For $n \in \mathbb{N}$ and $m_1, \dots, m_n \in \mathbb{N}$,

$$m := m_1 + \dots + m_n$$

$$\gamma_{m_1, \dots, m_n}: \text{End}_V(n) \otimes \text{End}_V(m_1) \otimes \dots \otimes \text{End}_V(m_n) \rightarrow \text{End}_{\underline{m}}$$

$$f \otimes f_1 \otimes \dots \otimes f_n \mapsto f \circ \underbrace{(f_1 \otimes \dots \otimes f_n)}_{: V^{\otimes m} \rightarrow V^{\otimes n}}$$

• The unit is $\text{id}_V \in \text{End}_V(1)$.

Algebraic operations have special symmetries. 14/16

For example, the Lie bracket $[-, -]$ of a Lie algebra $\mathfrak{g} = (V, [-, -])$ satisfies the skew-symmetry and the Jacobi identity.

To describe these symmetry we use the morphisms of operads.

Exm There are operads \mathcal{Com} , \mathcal{Assoc} and \mathcal{Lie} such that

$$\text{Hom}_{\text{op}}(\mathcal{Com}, \text{End}_V) \cong \{ \text{comm. alg. str. on } V \}$$

$$\text{Hom}_{\text{op}}(\mathcal{Assoc}, \text{End}_V) \cong \{ \text{assoc. alg. str. on } V \}$$

$$\text{Hom}_{\text{op}}(\mathcal{Lie}, \text{End}_V) \cong \{ \underline{\text{Lie alg. str. on } V} \}$$

i.e. Lie brackets

The operad of vertex algebras

15/16

- Beilinson and Drinfeld defined the structure of chiral algebra on a D_X -module A as a morphism $\alpha: \text{Lie} \rightarrow \mathcal{P}^{\text{ch}}$.

$$\mathcal{P}^{\text{ch}}(n) = \text{Hom}_{D_{X^n}} \left(A^{\boxtimes n} \left(\infty \bigcup_{1 \leq i < j \leq n} \Delta_{i,j}^{\text{cn}} \right), \Delta_{*}^{\text{cn}} A \right).$$

- Bakalov, De Sole, Heluani and Kas (2018) introduced an operad $\mathcal{P}_{\text{alg}}^{\text{ch}}$, which is a purely algebraic translation of \mathcal{P}^{ch} :

$$\mathcal{P}_{\text{alg}}^{\text{ch}}(n) = \text{Hom}_{D_{\mathbb{A}^n}} \left(\mathbb{V}^{\otimes n} \otimes \mathbb{O}_n^{*T}, \mathbb{V}[\lambda_i]_{i=1}^n / \langle T + \lambda_1 + \dots + \lambda_n \rangle \right).$$

They proved: $\mathbb{O}_n^{*T} = \mathbb{C}[(z_i - z_j)^{\neq 1}]_{1 \leq i < j \leq n}$

$$\text{Hom}_{\text{op}}(\text{Lie}, \mathcal{P}_{\text{alg}}^{\text{ch}}) \cong \{ \forall A \text{ str. on } (\mathbb{V}, T) \}.$$

§. Concluding remarks.

16 / 16

- Conformal field theory with supersymmetry (SCFT) have also been actively studied in physics.
- Heluani and Kac (2007) introduced the notion of **supersymmetric vertex algebras (SUSY VA)** to formulate the calculation of superfields which appear in SCFT.
- Tanagida and I (2022) constructed an operad $\mathcal{P}^{d|N|k}$ (resp. $\mathcal{P}^{d|N|k}$), which encodes the structure of $N_W = N$ (resp. $N_k = N$) SUSY VA.