

# Squashed information backflows in non-Markovian quantum stochastic processes

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**Shenzhen-Nagoya Workshop on Quantum Science 2024**

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## **Abstract**

It is well known that convex combinations of Markov processes typically result in non-Markov ones. In this talk I will review some notions of (non-)Markovianity for quantum stochastic processes focusing in particular on a recent proposal to quantify information backflows after classical memories have been suitably squashed out. Such a “squashed” non-Markovianity, besides suggesting a notion of “genuine” or “causal” information revivals, is also able to resolve the problem of non-convexity, thus clarifying the role of non-Markovianity as a resource. The possibility of extending the same intuition to other non-convex resource theories is discussed. This is joint work with R. Gangwar, K. Goswami, H. Badhani, T. Pandit, B. Mohan, S. Das, and M.N. Bera. Preprint available as [arXiv:2405.05326](https://arxiv.org/abs/2405.05326).

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## References

- F.B.: *On complete positivity, Markovianity, and the quantum data-processing inequality, in the presence of initial system-environment correlations.*  
Physical Review Letters 113, 140502 (2014)
- F.B., R. Gangwar, K. Goswami, H. Badhani, T. Pandit, B. Mohan, S. Das, M.N. Bera: *Information revival without backflow: non-causal explanations of non-Markovianity.*  
Preprint arXiv:2405.05326

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# Reduced dynamics in the presence of initial system–environment correlations

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## The problem in a nutshell

textbooks usually begin with the **factorization assumption**, i.e.,  $\bullet_Q \otimes \gamma_E$ : in this case, the reduced dynamics  $\text{Tr}_{E'} \left[ U_{QE \rightarrow Q'E'} (\bullet_Q \otimes \gamma_E) U_{QE \rightarrow Q'E'}^\dagger \right]$  is always well defined, completely positive and trace-preserving

- 1994: Pechukas' PRL (what if we drop the factorization assumption?) and Alicki's comment on it
- 2004: Sudarshan's group (explicit constructions and examples)
- 2009: Shabani and Lidar's PRL (claim: quantum discord solves the problem)
- 2013: Brodutch et al's counterexample voiding the Shabani–Lidar PRL
- 2014: next slide

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# Preparable initial conditions

- initial set of possible system-environment states  $\sigma_{QE} \ni \mathfrak{S}_{QE} \subseteq \mathcal{S}(\mathcal{H}_Q \otimes \mathcal{H}_E)$
- **requirement of preparability**: the set  $\mathfrak{S}_{QE}$  is said to be **preparable** if and only if there exists an input system  $R$  and a CP linear map  $\mathcal{P} : R \rightarrow QE$  such that  $\mathfrak{S}_{QE}$  is the filter of  $\mathcal{S}(\mathcal{H}_R)$  under  $\mathcal{P}$ , that is,

$$\mathfrak{S}_{QE} = \mathcal{P}(\mathcal{S}(\mathcal{H}_R)) := \left\{ \frac{\mathcal{P}(\varrho_R)}{\text{Tr}[\mathcal{P}(\varrho_R)]} : \varrho_R \in \mathcal{S}(\mathcal{H}_R) \wedge \text{Tr}[\mathcal{P}(\varrho_R)] > 0 \right\}$$

- **equivalence with steerability**: the set  $\mathfrak{S}_{QE}$  is **preparable** if and only if it is **steerable**, i.e., if and only if there exists a reference system  $R$  and a tripartite density operator  $\omega_{RQE}$  such that

$$\forall \sigma_{QE} \in \mathfrak{S}_{QE}, \exists \pi_R \geq 0 : \sigma_{QE} = \frac{\text{Tr}_R[\omega_{RQE} (\pi_R \otimes \mathbf{1}_{QE})]}{\text{Tr}[\omega_{RQE} (\pi_R \otimes \mathbf{1}_{QE})]}$$

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## Result (PRL, 2014)

### Fact

Let the set  $\mathfrak{S}_{QE}$  be a **preparable/steerable** set of initial system-environment conditions. The following are equivalent:

- $\mathfrak{S}_{QE}$  is **CPTP reducible**: for any interaction  $U_{QE \rightarrow Q'E'}$ , there exists a corresponding CPTP linear map  $\mathcal{E}_{Q \rightarrow Q'}$  such that

$$\text{Tr}_{E'} [U \sigma_{QE} U^\dagger] = \mathcal{E} \circ \text{Tr}_E [\sigma_{QE}] , \quad \forall \sigma_{QE} \in \mathfrak{S}_{QE}$$

- $\mathfrak{S}_{QE}$  is **Markov-steerable**: there exists a tripartite state  $\omega_{RQE}$  with  $I(R; E|Q) = 0$ , such that  $\mathfrak{S}_{QE}$  is steerable from  $\omega_{RQE}$

all known examples fall within the scope of the above theorem, which also makes it much easier to verify the CPTP reducibility condition, but many more can be constructed.

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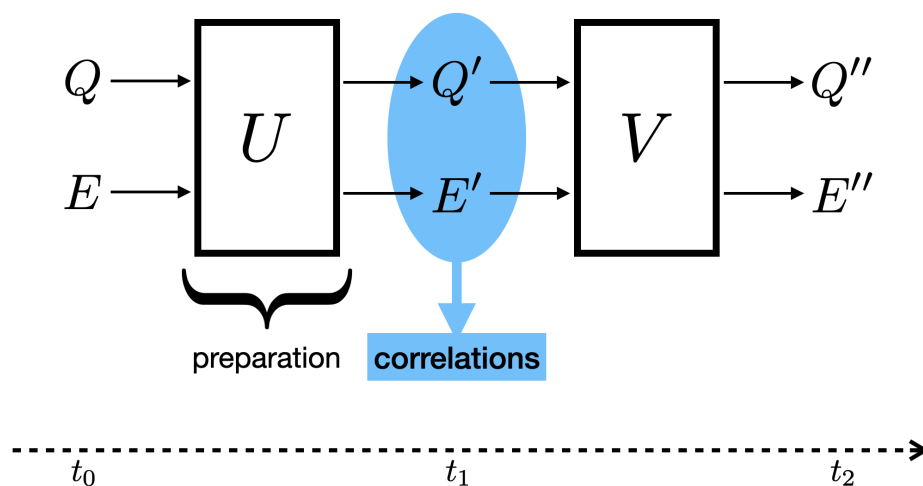
## What happens when the set of initial assignments is not CPTP-reducible?

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### Information revival 1/2

let us consider the first interaction step as the “preparation” procedure:

$$\mathcal{S}(\mathcal{H}_Q) \otimes \gamma_E \xrightarrow{U_{QE}:t_0 \rightarrow t_1} \mathfrak{S}_{Q'E'} \xrightarrow{V_{Q'E'}:t_1 \rightarrow t_2} \mathfrak{S}_{Q''E''}$$

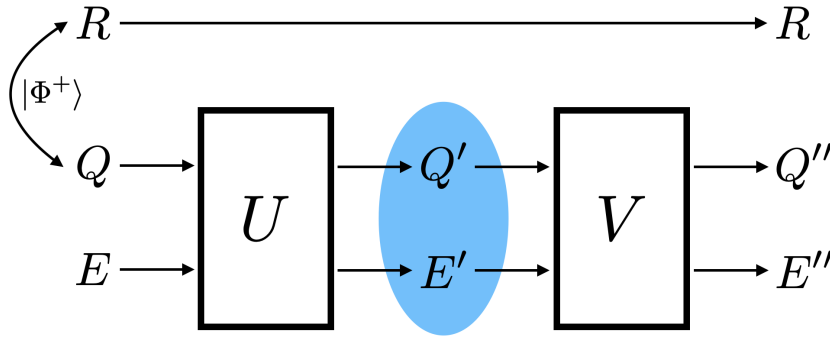


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## Information revival 2/2

for convenience, we introduce a reference system  $\mathcal{H}_R \cong \mathcal{H}_Q$  and a maxent state  $|\Phi^+\rangle_{RQ}$

$$\Phi_{RQ}^+ \otimes \gamma_E \xrightarrow{t_0 \rightarrow t_1} \underbrace{U_{QE}(\Phi_{RQ}^+ \otimes \gamma_E)U_{QE}^\dagger}_{\equiv \sigma_{RQ'E'}} \xrightarrow{t_1 \rightarrow t_2} \underbrace{V_{Q'E'}\sigma_{RQ'E'}V_{Q'E'}^\dagger}_{\equiv \tau_{RQ''E''}}$$

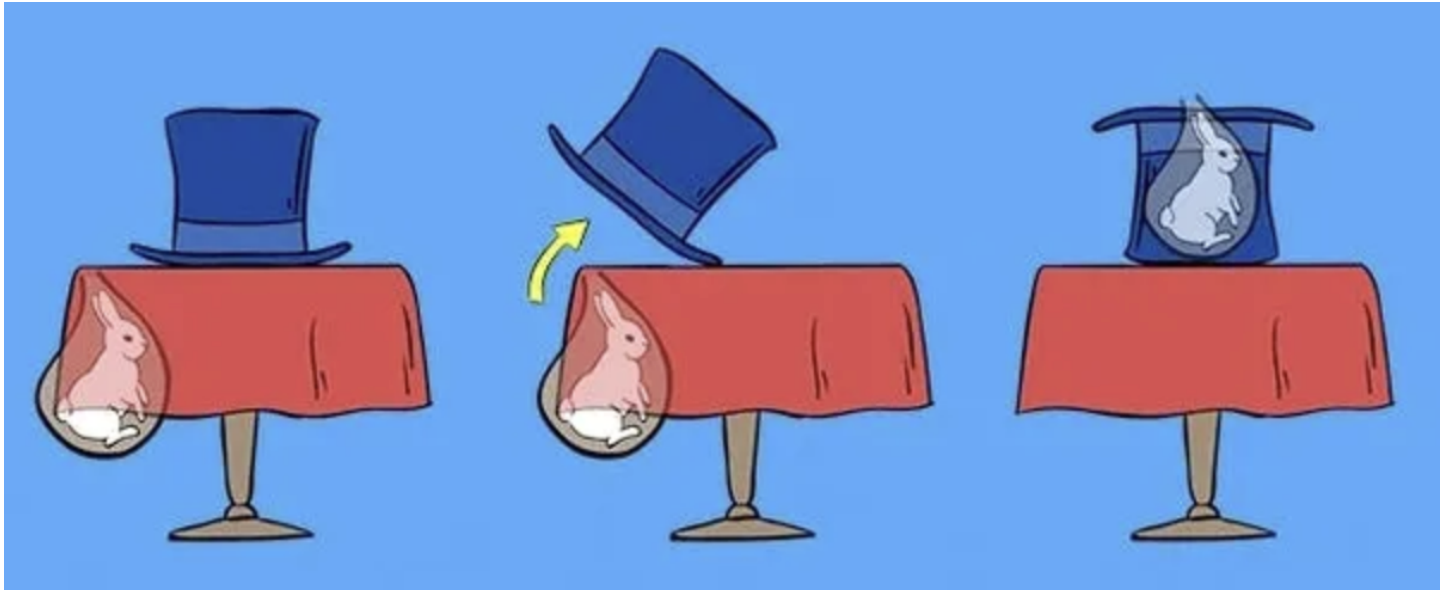


if  $I(R; E'|Q') > 0$ , a revival, i.e.,  $I(R; Q'') > I(R; Q')$ , may occur

**By looking at the system alone, a revival amounts to a violation of locality!**

**As such, it needs an explanation.**

# Explaining revivals



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# Explaining revivals

$$\Phi_{RQ}^+ \otimes \gamma_E \xrightarrow[t_0 \rightarrow t_1]{U_{QE}} \sigma_{RQ'E'} \xrightarrow[t_1 \rightarrow t_2]{V_{Q'E'}} \tau_{RQ''E''} \quad (1)$$

suppose that a revival happens between  $t_1$  and  $t_2$ , i.e.,  $I(R; Q'') > I(R; Q')$

**Explanation:** compatibly with (1), keep adding parts of the universe to  $Q'$ , until the revival disappears, i.e.,  $I(R; Q' \dots) \geq I(R; Q'' \dots)$

**Obvious explanation:** just add the environment itself! Indeed,  $I(R; Q'E') \geq I(R; Q''E'')$

$\implies$  **information backflow**

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## Statement no. 1

If  $I(R; E'|Q') > 0$ , information revivals are possible.

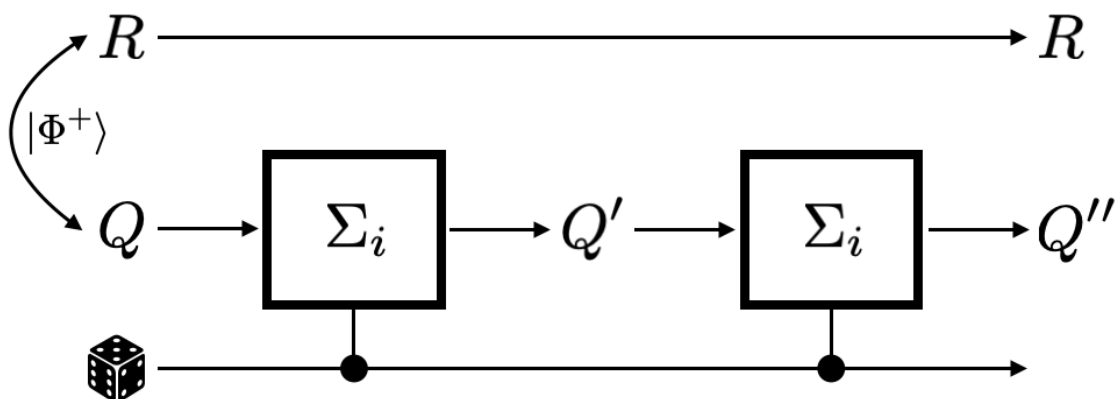
Any revival **can** be explained as a backflow.

**But is a backflow always necessary?**

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## A motivating example 1/2

$$\mathcal{H}_R \cong \mathcal{H}_Q \cong \mathbb{C}^2, \quad \gamma_E = \frac{1}{4}\mathbb{1}, \quad \Sigma^i \in \{\mathbb{1}, X, Y, Z\}$$

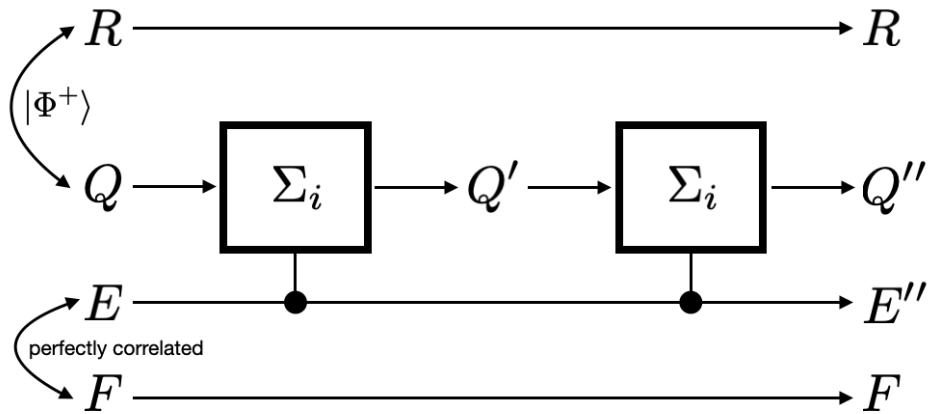


$$I(R; Q) = 2 \xrightarrow{t_0 \rightarrow t_1} I(R; Q') = 0 \xrightarrow{t_1 \rightarrow t_2} I(R; Q'') = 2$$

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## A motivating example 2/2



$$I(R; QF) = 2 \xrightarrow{t_0 \rightarrow t_1} I(R; Q'F) = 2 \xrightarrow{t_1 \rightarrow t_2} I(R; Q''F) = 2$$

$\implies F$  provides an explanation, even though it never interacts with  $Q$  and is causally separated from it at all times!

$\implies$  there **cannot** be any "backflow" from  $F$  into  $Q$

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## Non-causal explanations

- start from

$$\Phi_{RQ}^+ \otimes \gamma_E \xrightarrow{U_{QE}} \sigma_{RQ'E'} \xrightarrow{V_{Q'E'}} \tau_{RQ''E''}$$

and take an extension of  $\gamma_E$  using an ancillary system  $F$

$$\Phi_{RQ}^+ \otimes \gamma_{EF} \xrightarrow{U_{QE}} \sigma_{RQ'E'F} \xrightarrow{V_{Q'E'}} \tau_{RQ''E''F}$$

- the extension  $F$  never interacts with the system: it may reside in a space-like separated (thus, causally separated) region when the first interaction between  $Q$  and  $E$  takes place
- and yet,  $F$  could explain the information revival, that is,  $I(R; Q') < I(R; Q'')$  but  $I(R; Q'F) \geq I(R; Q''F)$
- in this case, the extension  $F$  provides a **non-causal explanation**: the information revival can be explained without the need for any backflow

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## Statement 2

A backflow is **not** always necessary to explain information revivals.

So, when is a backflow **absolutely** necessary? And when instead do we **never** need one?

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## Sufficient condition for a causal backflow

- suppose that there is a revival, i.e.,  $I(R; Q') < I(R; Q'')$
- a non-causal explanation does **not** exist if and only if

$$\forall F : \text{non-causal extensions, } I(R; Q'F) < I(R; Q''F)$$

- a **sufficient** condition for the above is

$$\sup_F I(R; Q'|F) < \inf_F I(R; Q''|F)$$

- in turn, the above holds if

$$H(Q')_\sigma < E_{sq}(\tau_{RQ''})$$

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## Statement 3

If  $H(Q')_\sigma < E_{sq}(\tau_{RQ''})$ , then the revival **requires** a **backflow**, regardless of the interaction model.

Are there processes that **never** require a backflow?

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## Non-causal correlations

$$\Phi_{RQ}^+ \otimes \gamma_{EF} \xrightarrow{U_{QE}} \sigma_{RQ'E'F} \xrightarrow{V_{Q'E'}} \tau_{RQ''E''F}$$

$$I(R; Q'F) \geq I(R; Q''F) \iff I(R; E''|Q''F) \geq I(R; E'|Q'F)$$

**non-causal correlations:** if there exists a causally separated extension  $F$  such that  $I(R; E'|Q'F) = 0$ , the system-environment correlations present at time  $t = t_1$  are called **non-causal**

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## Statement 4

If there exists  $F$  such that  $I(R; E'|Q'F)$ , only non-causal revival are possible: a backflow is **never** required.

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## Added bonus: closure under convex mixtures

take the mixture of two processes

$$p \left\{ \Phi_{RQ}^+ \otimes \gamma_E^{(a)} \rightarrow \sigma_{RQ'E'}^{(a)} \rightarrow \tau_{RQ''E''}^{(a)} \right\} + (1-p) \left\{ \Phi_{RQ}^+ \otimes \gamma_E^{(b)} \rightarrow \sigma_{RQ'E'}^{(b)} \rightarrow \tau_{RQ''E''}^{(b)} \right\}$$

even if both  $\sigma_{RQ'E'}^{(a)}$  and  $\sigma_{RQ'E'}^{(b)}$  only contain inert correlations, **their mixture could allow revivals**, i.e.,

$$I(R; E'|Q')_a = 0 \wedge I(R; E'|Q')_b = 0 \not\Rightarrow I(R; E'|Q')_{pa+(1-p)b} = 0$$

instead, any convex mixture of non-causal correlations is automatically non-causal

we can construct a **convex resource theory of genuine (causal) non-Markovian backflows**

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## Conclusion

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## Today's take-home ideas

- in open quantum systems dynamics, the separation is not only “revival occurs” (non-Markov) VS “revival does not occur” (Markov)
- within revivals, we can further distinguish between “non-causal revivals” VS “genuine backflows”
- if  $\exists F$  such that  $I(R; E'|Q'F) = 0$ , then only non-causal revivals
- if  $H(Q') < E_{sq}(R; Q'')$ , then genuine backflow
- such “genuine non-Markovianity” is well-behaved under convex mixtures of processes  $\implies$  resource theory of genuine non-Markovianity

Thank you

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## References

1. F. Buscemi, *On complete positivity, Markovianity, and the quantum data-processing inequality, in the presence of initial system-environment correlations*. Physical Review Letters, vol. 113, 140502 (5pp), 2014.
2. F. Buscemi, R. Gangwar, K. Goswami, H. Badhani, T. Pandit, B. Mohan, S. Das, and M.N. Bera, *Information revival without backflow: non-causal explanations of non-Markovianity*. Preprint arXiv:2405.05326, 2024.