



# Exact renormalization flow for matrix product density operators

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Kohtaro Kato (Nagoya University)



Appearing on arXiv soon (hopefully...)

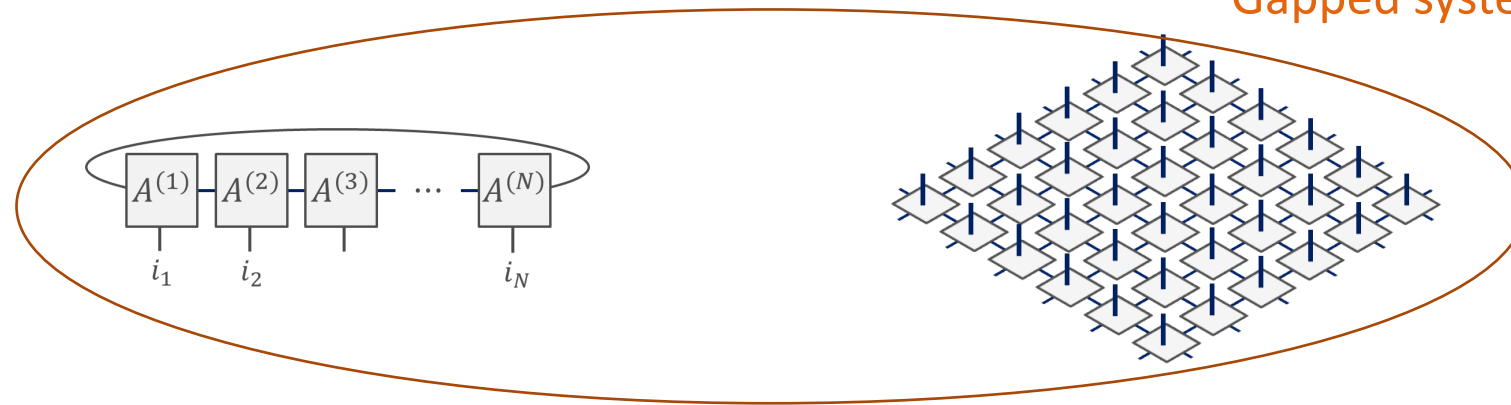
Shenzhen-Nagoya Workshop on Quantum Science 2024

09/21/2024

# Tensor Networks

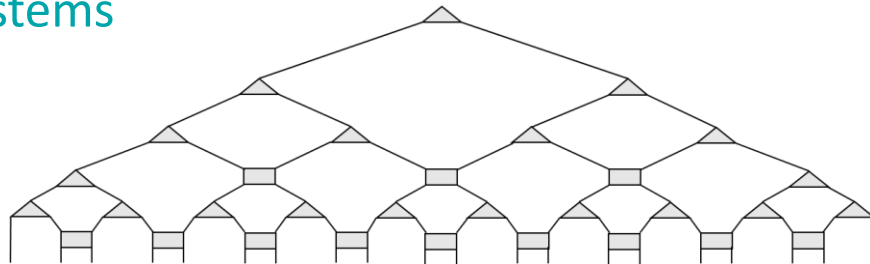
$$|\psi\rangle = \sum_{i_1, \dots, i_N} c_{i_1 i_2 \dots i_N} |i_1 \dots i_N\rangle$$

$c_{i_1 i_2 \dots i_N} =$

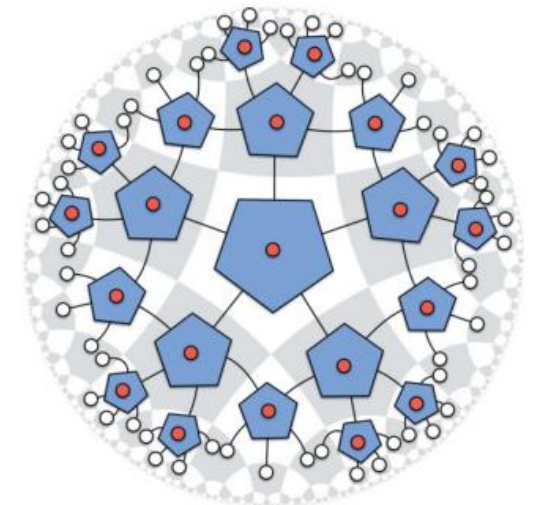


Gapped systems

Critical systems



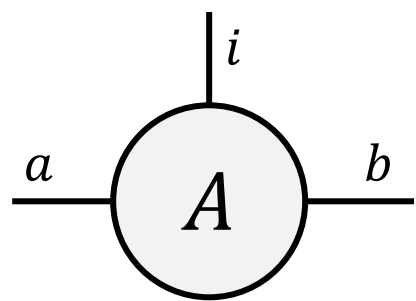
High-energy physics



Various applications in quantum physics

# Contraction rule

- Open leg = index of the tensor

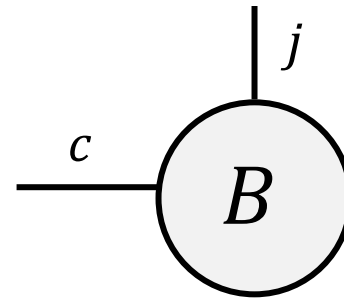
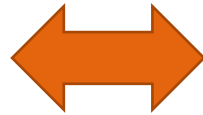


$$A_{ab}^i \in \mathbb{C}$$

$$i = 1, \dots, d$$

$$a, b = 1, \dots, D$$

$$A^i := (A_{ab}^i): \text{matrix}$$

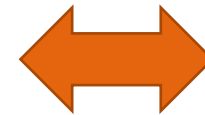


$$B_c^j \in \mathbb{C}$$

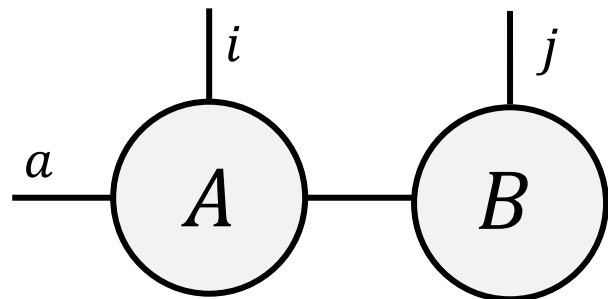
$$j = 1, \dots, d'$$

$$c = 1, \dots, D$$

$$|B^j\rangle := (B_c^j): \text{vector}$$



- Connected leg = sum over the index



$$\sum_{b=1}^D A_{ab}^i B_b^j \in \mathbb{C},$$

$$A^i |B^j\rangle = \left( \sum_{b=1}^D A_{ab}^i B_b^j \right)$$

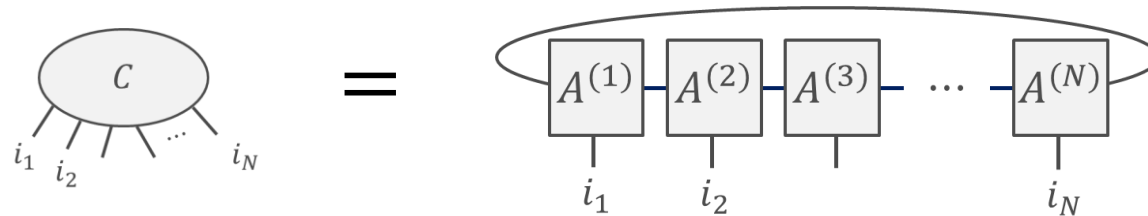
vector



# Matrix Product states

$$|\psi\rangle = \sum_{i_1, \dots, i_N} c_{i_1 \dots i_N} |i_1 i_2 \dots i_N\rangle \in \mathbb{C}^{d^{\otimes N}}$$

**MPS**  $|\psi\rangle = \sum_{i_1, \dots, i_N} \text{Tr} \left( A_{i_1}^{(1)} A_{i_2}^{(2)} \dots A_{i_N}^{(N)} \right) |i_1 \dots i_N\rangle$   $A_{i_k}^{(j)}: D \times D$  matrix (for each  $i_k, j$ )



▶ The number of parameters needed to specify a MPS =  $dND^2 \ll d^N$

▶ Always satisfies an area law of entanglement:  $S(X)_\psi := -\text{Tr} \rho_X \log \rho_X \leq \log D$

**What are these states?**

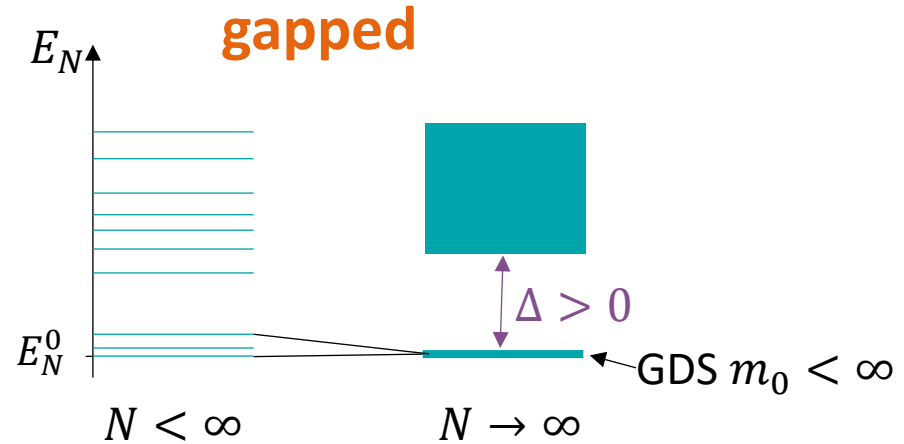
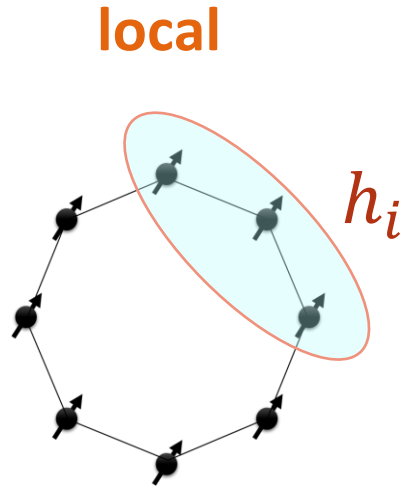
# MPS and 1D gapped physics

► 1D frustration-free, local, gapped Hamiltonian:

$$H = \sum_i h_i$$

frustration-free

$$h_i |\psi_{GS}\rangle = 0, \forall i.$$



MPS  $\supset$  1D local gapped frustration-free ground states

- Any 1D gapped ground state can be approximated by a MPS [Hastings, '07; Arad *et al.*, '13]

$$D \sim \text{poly}(N, 1/\epsilon)$$

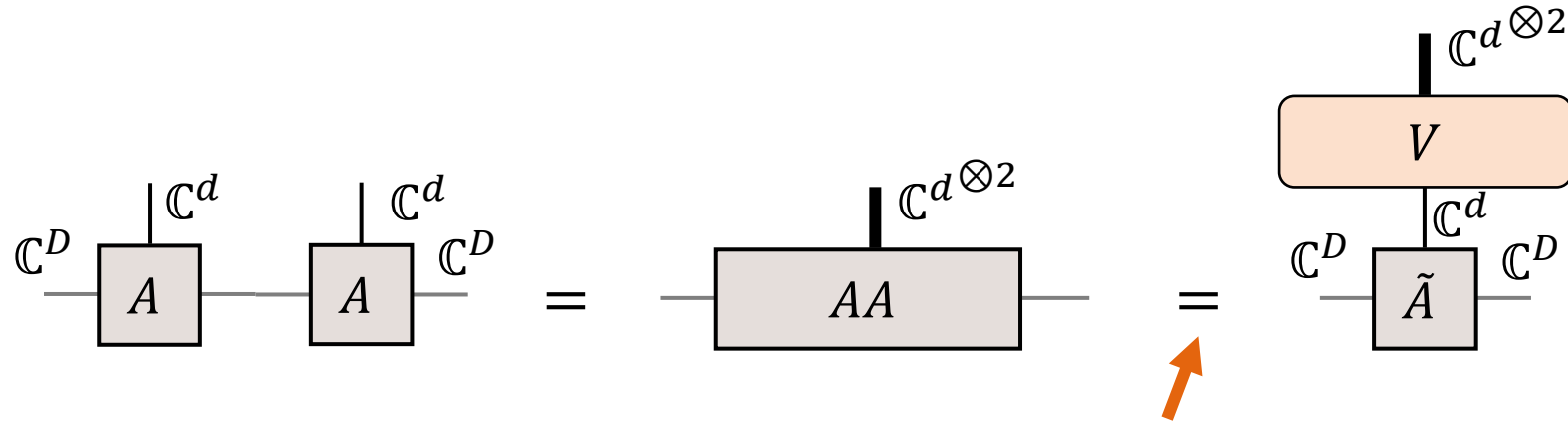
MPS  $\subset$  1D local gapped frustration-free ground states

- Any MPS has a 1D local, gapped Hamiltonian  $H$  s.t. the MPS is a ground state of  $H$

[Fannes, *et al.*, '92; Nachtergaele, '96]

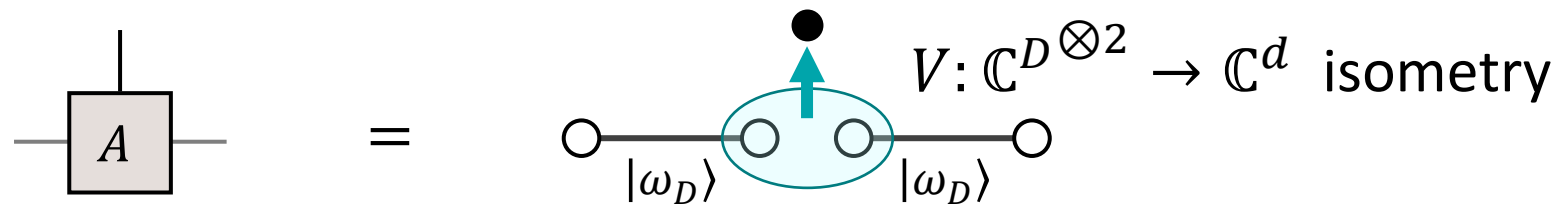
# Renormalization Group flow of MPS

- ▶ MPS has a **physically reversible** coarse-graining operation.



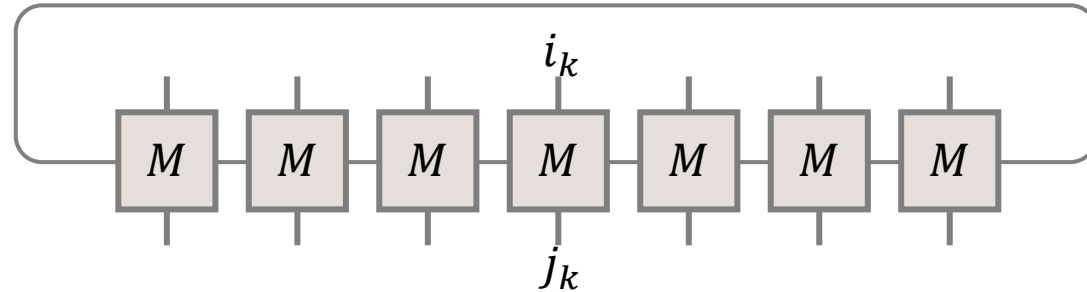
Use the polar decomposition of tensor  $AA = V\tilde{A}$  ( $d > D^2$  w.l.o.g.).

- ▶ The RG-fixed point is achieved by iteration → **Isometric MPS**



- ▶ The RG-fixed point is useful to characterize quantum gapped phases [Schuch et al., '11]

# Matrix Product Density Operators (MPDO)



$$\rho_{MPDO} = \sum_{i,j} \text{Tr}(M^{i_1 j_1} M^{i_2 j_2} \dots M^{i_N j_N}) |i_1 i_2 \dots i_N\rangle \langle j_1 j_2 \dots j_N| \quad M_{i_k j_k}: D \times D \text{ matrix (for each } i_k, j_k)$$

- A natural generalization of Matrix Product States to **1D mixed states**.
  - A good ansatz for thermal states and steady states in 1D systems.
- Any Gibbs states of 1D local Hamiltonian can be approximated by a MPDO [[Hastings '06](#)].

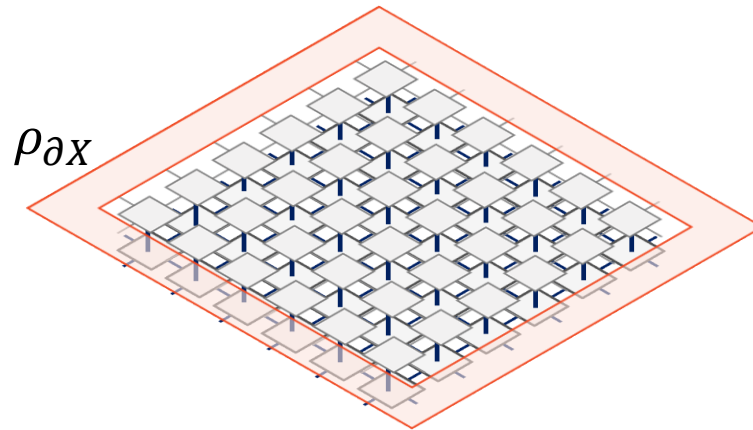
$$\text{MPDO} \supseteq \rho_{Gibbs} = \frac{1}{Z} e^{-\beta \sum_i h_{i,i+1}}$$

# MPDOs $\neq$ Gibbs states

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- ▶ MPDO can describe more than just Gibbs states.

PEPS  
(2D pure states)



$D$ -dimensional *pure* states  $\leftrightarrow$   $(D - 1)$ -dimensional *mixed* states

- ▶ Boundary states of 2D topological order can be non-thermal MPDOs.

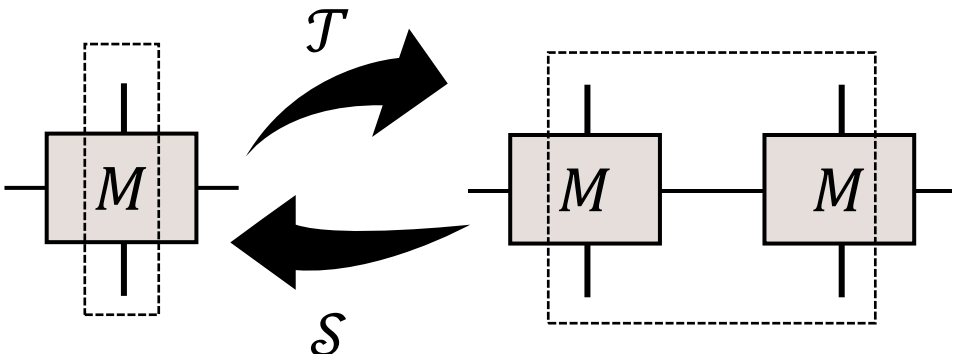
Boundary of toric code model

$$\rho_{\text{MPDO}} = \frac{1}{2^n} (I^{\otimes n} + Z^{\otimes n}) \neq \frac{1}{Z} e^{-\beta \sum_i h_{i,i+1}}$$



# Renormalization fixed-points of MPDO

► A MPDO is called a fixed-point MPDO if there is a pair of **CPTP-maps**  $\mathcal{S}, \mathcal{T}$  such that

$$M = \sum_{ij} |i\rangle\langle j| \otimes M^{ij}$$


$$\sum_{ij} \mathcal{S}(|i_1 i_2\rangle\langle j_1 j_2|) \otimes M^{i_1 j_1} M^{i_2 j_2} = M, \quad \sum_{ij} \mathcal{T}(|i\rangle\langle j|) \otimes M^{ij} = \sum_{ij} |i_1 i_2\rangle\langle j_1 j_2| \otimes M^{i_1 j_1} M^{i_2 j_2}$$

**Theorem [Cirac, et al., '17] :**

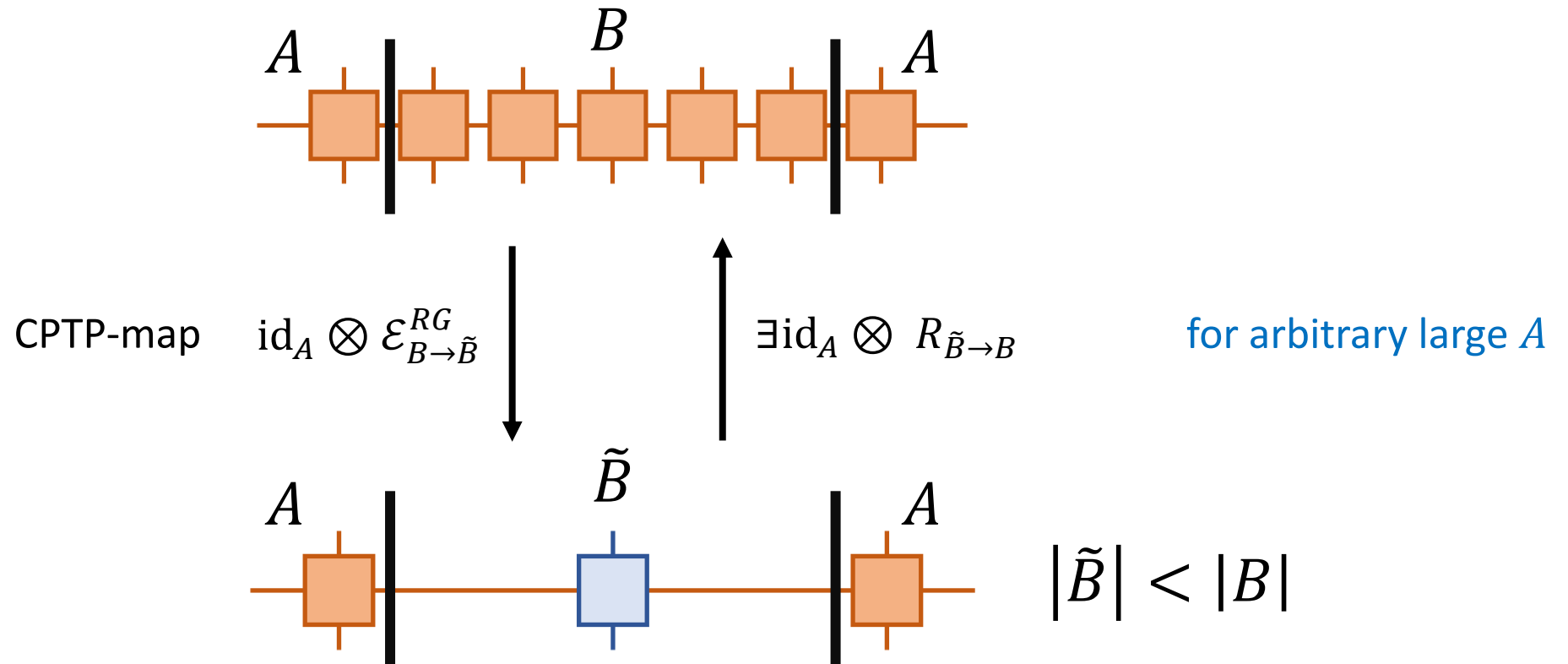
If  $\rho$  is a **fixed-point MPDO**, then  $\rho$  is a “**global MPO**”  $\times$  a **commuting Gibbs state**.

$$\rho_{\text{MPDO}} = \left( \bigoplus_{i=1}^d \lambda_i P_i \right) e^{-\beta \sum_k h_{k,k+1}} \quad \left[ P_i, \sum_k h_{k,k+1} \right] = [h_{k,k+1}, h_{l,l+1}] = 0.$$

Matrix Product Operator

**Caveat:** A notion of **renormalization flow** is missing for these “fixed-points”.

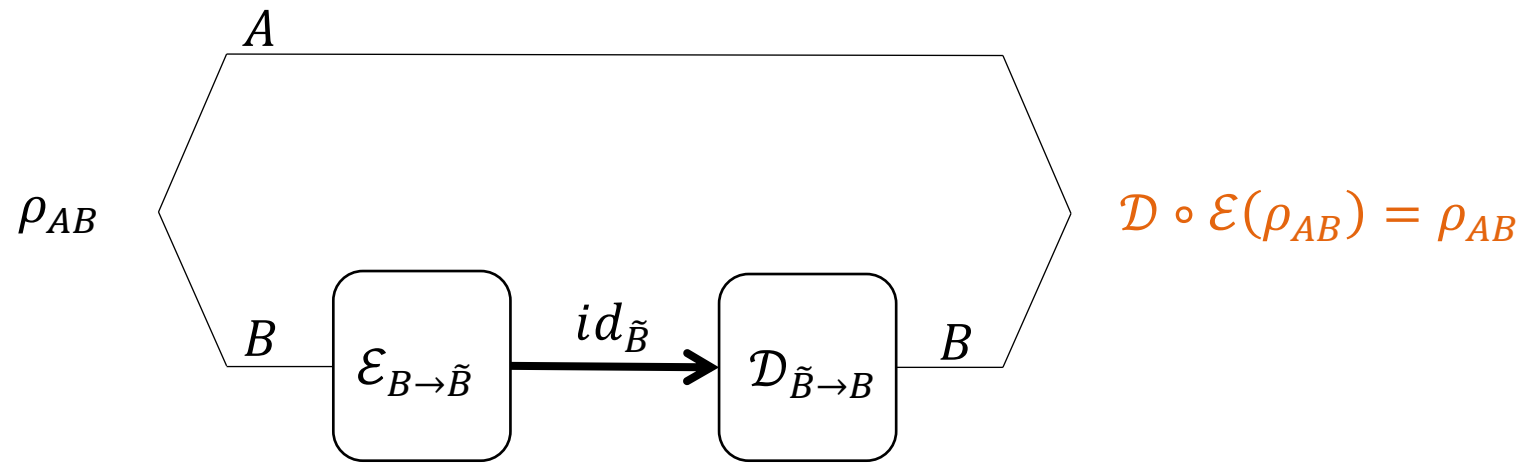
# Exact (reversible) RG-flow of MPDO?



If  $|\tilde{B}|$  can be chosen to be independent of  $|B|$ , then we obtain the desired RG-flow.

# Exact compression of general bipartite states

- **One-shot, exact** compression of **mixed bipartite state**



## Question

What is the minimum dimension of  $\mathcal{H}_{\tilde{B}}$ ?

# Minimal sufficient subalgebra

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The condition  $\mathcal{D}_{\tilde{B} \rightarrow B} \circ \mathcal{E}_{B \rightarrow \tilde{B}}(\rho_{AB}) = \rho_{AB}$  is equivalent to the following [Hayden, et al., '04]:

$$\mathcal{D}_{\tilde{B} \rightarrow B} \circ \mathcal{E}_{B \rightarrow \tilde{B}}(\mu_B) = \mu_B, \forall \mu_B \in \mathcal{S}, \quad \mathcal{S} := \left\{ \mu_B = \frac{\text{tr}_A(O_A \rho_{AB})}{\text{tr}(O_A \rho_A)} \mid 0 \leq O_A \leq I_A \right\}.$$

- The minimal dimension of  $\tilde{B}$  is then derived from the **minimum sufficient subalgebra** of  $\mathcal{S}$ .  
[Petz, '86, '88][Jenčová&Petz, '06]

$$\mathcal{M}_B^{\mathcal{S}} := \text{Alg}\{\mu_B^{it} \rho_B^{-it}, \mu \in \mathcal{S}, t \in \mathbb{R}\} \subset \mathcal{B}(\mathcal{H}_B)$$

This is a finite-dimensional  $C^*$ -algebra, thus there is a decomposition

$$\mathcal{H}_B \cong \bigoplus_i \mathcal{H}_{B_i^L} \otimes \mathcal{H}_{B_i^R} \quad \text{s.t.} \quad \mathcal{M}_B^{\mathcal{S}} \cong \bigoplus_i \text{Mat}(\mathcal{H}_{B_i^L}, \mathbb{C}) \otimes I_{B_i^R}.$$

# Minimal sufficient subalgebra (cont.)

► For any bipartite state  $\rho_{AB} \in \mathcal{B}(\mathcal{H}_A \otimes \mathcal{H}_B)$ , s.t.,  $\rho_B > 0$ ,

$$\mathcal{H}_B \cong \bigoplus_i \mathcal{H}_{B_i^L} \otimes \mathcal{H}_{B_i^R} \quad \text{s.t.} \quad \mathcal{M}_B^S \cong \bigoplus_i \text{Mat}(\mathcal{H}_{B_i^L}, \mathbb{C}) \otimes I_{B_i^R}$$

and

$$\rho_{AB} = \bigoplus_i p_i \underbrace{\rho_{AB_i^L}}_{\text{Quantumly correlated}} \otimes \underbrace{\omega_{B_i^R}}_{\text{Classically correlated}}.$$

Sometimes called “Koashi-Imoto decomposition”. [Koashi, Imoto, ‘02][Hayden, et al., ‘04]

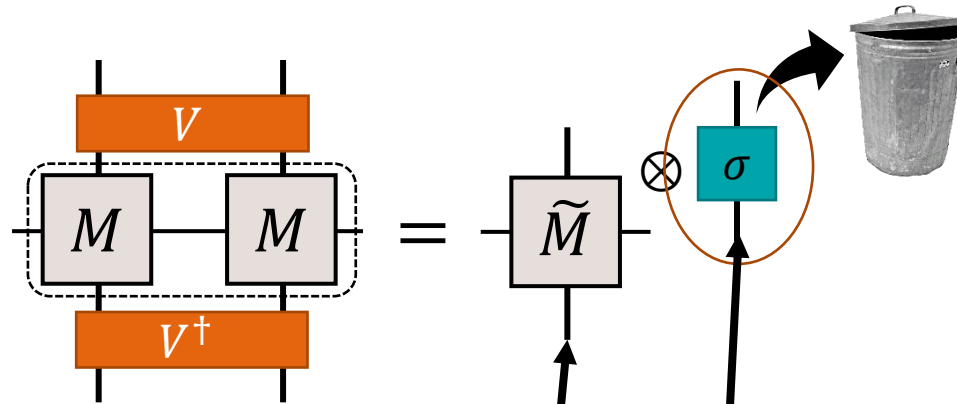
► The minimal exact compression is then given by

$$\mathcal{E}_{B \rightarrow \tilde{B}}: \rho_{AB} \mapsto \rho_{A\tilde{B}} := \bigoplus_i p_i \rho_{AB_i^L}.$$

# Exact (reversible) RG-flow of MPDO?

- We need to establish RG-flow (coarse-graining) for MPDOs

Unlike RG-flow for MPS (which is well-defined), one needs to reduce the entropy to keep the local dimension to be a constant.



This is exactly given by **the Koashi-Imoto decomposition!**

$$\rho_{RA} = \bigoplus_i p_i \rho_{RA_i^L} \otimes \omega_{A_i^R}$$

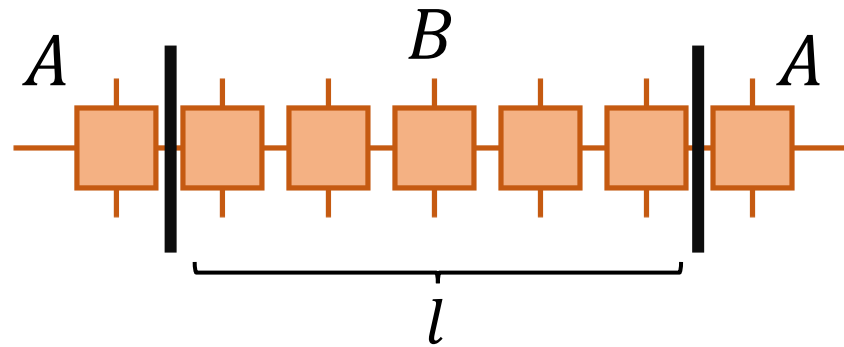
# Diverging RG-flow

► We show not all MPDOs admit RG-flow. Consider an MPDO

$$\rho^{(L)} := \frac{1}{3^L} (I^{\otimes L} + \Lambda^{\otimes L})$$

$$\Lambda = \begin{pmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{pmatrix}$$

$$\text{tr}\Lambda = 0, \|\Lambda\| \leq 1, \\ \lambda_1 \neq \lambda_2 \neq \lambda_3.$$



The minimal sufficient subalgebra for  $\mathcal{S} := \left\{ \mu_B = \frac{\text{tr}_A(O_A \rho_{AB})}{\text{tr}(O_A \rho_A)} \mid 0 \leq O_A \leq I_A \right\}$  is

$$\mathcal{M}_B^{\mathcal{S}} = \text{Alg}\{I^{\otimes l}, \Lambda^{\otimes l}\} \cong \mathbb{C}^{\text{poly}(l)}$$

Exact RG-flow must  
diverge!

# MPDO with a RG-flow

► We thus consider a subclass of MPDOs with a RG-flow.

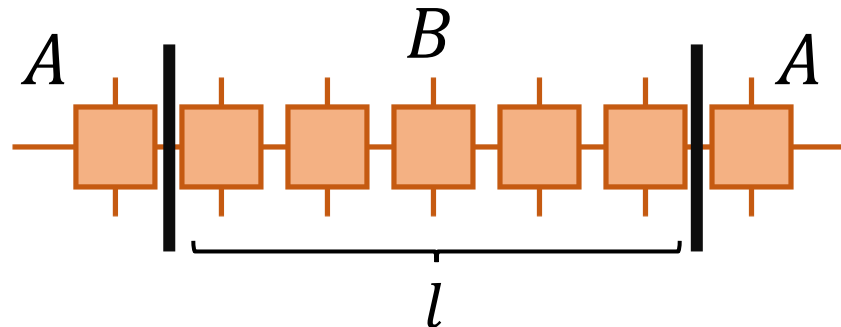
**Condition 1:** there is a finite-dimensional  $C^*$ -algebra  $\mathcal{A}$

$$\mathcal{A} = \bigoplus_a \text{Mat}_{d_a}(\mathbb{C})$$

and injective representations  $\{\pi_l\}$  s.t.

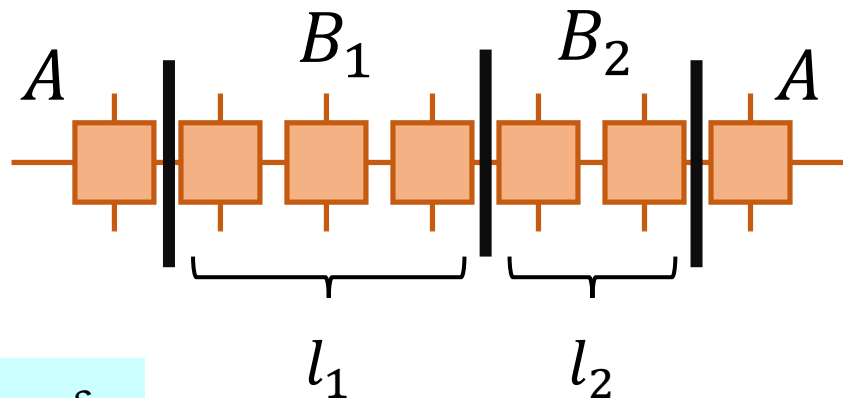
$$\mathcal{M}_B^S = \pi_l(\mathcal{A}) \cong \bigoplus_a \text{Mat}_{d_a}(\mathbb{C}) \otimes I_{d_a^{(l)}} \quad \forall B, |B| = l.$$

$l$  - independent constant dimension



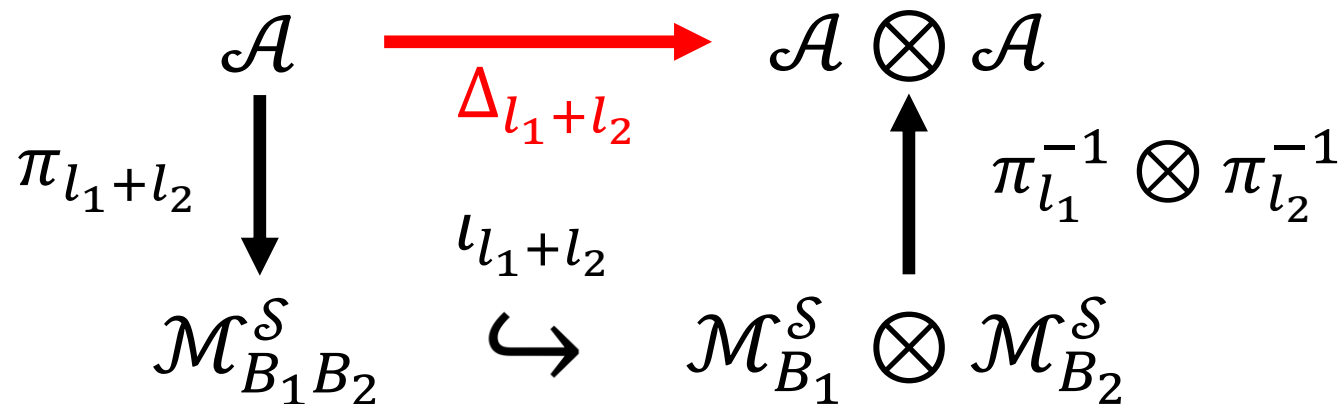


# The inclusion relation



**Lemma:**  $\mathcal{M}_{B_1 B_2}^S \subset \mathcal{M}_{B_1}^S \otimes \mathcal{M}_{B_2}^S$ .

The inclusion  $\iota_{l_1+l_2}: \mathcal{M}_{B_1 B_2}^S \hookrightarrow \mathcal{M}_{B_1}^S \otimes \mathcal{M}_{B_2}^S$  induces  $\Delta_{l_1+l_2} := (\pi_{l_1}^{-1} \otimes \pi_{l_2}^{-1}) \circ \iota_{l_1+l_2} \circ \pi_{l_1+l_2}$



# MPDO with a RG-flow (definition)

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► We say a MPDO has a  $(\pi_l, \mathcal{A})$  RG-flow if it satisfies the following two conditions.

**Condition 1:**  $\mathcal{M}_B^{\mathcal{S}} = \pi_l(\mathcal{A}), \quad \forall B, |B| = l.$

**Condition 2:**  $\exists \Delta: \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A} \quad \text{s. t.} \quad \Delta_{l_1+l_2} = \Delta, \quad \forall l_1, l_2 \in \mathbb{N}.$

## Proposition:

The linear map  $\Delta: \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$  becomes a **comultiplication**, i.e., it satisfies

$$(\text{id} \otimes \Delta) \circ \Delta = (\Delta \otimes \text{id}) \circ \Delta =: \Delta^2.$$

# Pre-bialgebra behind RG-flows

In addition to comultiplication  $\Delta: \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}$ , we show that  $\mathcal{A}$  has a **counit**  $\epsilon$ :

$$\epsilon: \mathcal{A} \rightarrow \mathbb{C}, \text{ s.t. } (\text{id} \otimes \epsilon) \circ \Delta = (\epsilon \otimes \text{id}) \circ \Delta = \text{id}.$$

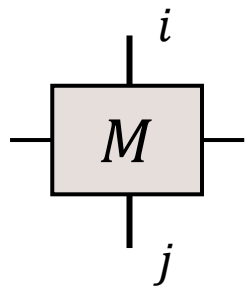
## Theorem:

The algebra  $\mathcal{A}$  associated to  $(\pi_l, \mathcal{A})$  is a **pre-bialgebra**.

**pre-bialgebra** = algebra  $\wedge$  co-algebra with multiplicative coproduct:  $\Delta(xy) = \Delta(x)\Delta(y)$ .

Sketch of the proof:

counit of  $\mathcal{A} \Leftrightarrow$  unit of  $\mathcal{A}^*$ , the dual space (which becomes algebra by  $\Delta$ )



$$M = \sum_{ij} \overset{\psi(\mathcal{A}^*)}{\cup} |i\rangle\langle j| \otimes M^{ij} = \sum_{\alpha,\beta} \overset{\pi(\mathcal{A})}{\cup} W^{\alpha\beta} \otimes |\alpha\rangle\langle\beta|$$

$\psi$ : injective rep.

$M^{ij}$  generates a unital algebra (by a property of tensor network)  $\rightarrow \mathcal{A}^*$  must contain a unit.

# Structure theorem

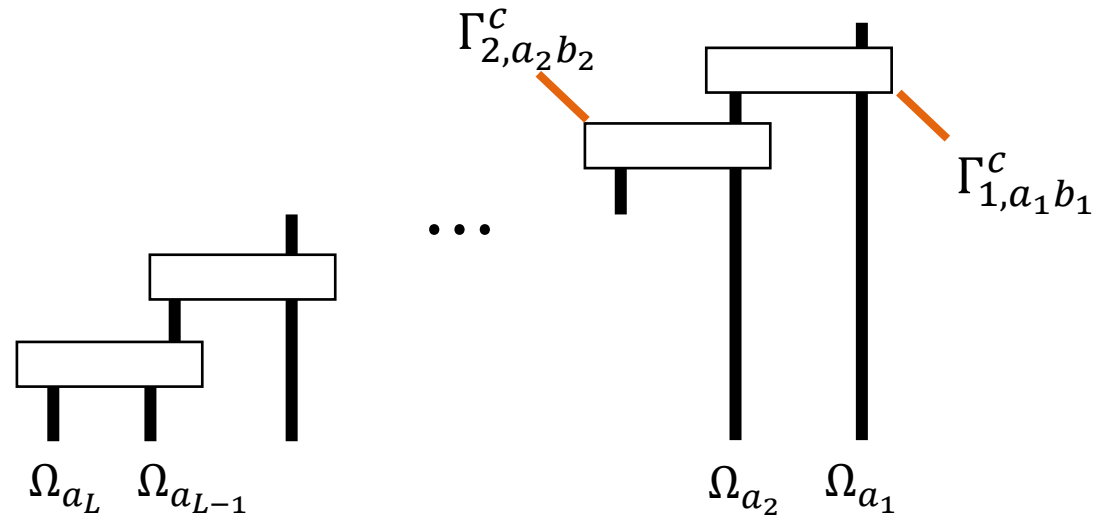
**Theorem:** Any MPDO  $\rho \in \mathcal{B} \left( (\mathbb{C}^d)^{\otimes L} \right)$  with a  $(\pi_l, \mathcal{A})$  RG-flow can be written as

$$\rho = \pi^{\otimes L} \circ \Delta^{L-1} \left( w^{(L)} \right) \Omega^{(L)}, \quad \exists w^{(L)} \in \mathcal{A},$$

where  $[\pi^{\otimes L} \circ \Delta^{L-1} (a), \Omega^{(L)}] = 0, \forall a \in \mathcal{A}$ .

$$\Omega^{(L)} = \bigoplus_{c,a,b} \Gamma_{1,a_1,b_1}^c \otimes \Gamma_{2,a_2,b_2}^{b_1} \dots \otimes \Gamma_{L-1,a_{L-1},a_L}^{b_{L-2}} \otimes_k^L \Omega_{a_k} \in \mathcal{B} \left( \mathbb{C}^{d^L} \right)$$

$$\Gamma_{l,a,b}^c, \Omega_{a_i} \geq 0$$



# Structure theorem

**Theorem:** Any MPDO  $\rho \in \mathcal{B} \left( (\mathbb{C}^d)^{\otimes L} \right)$  with a  $(\pi_l, \mathcal{A})$  RG-flow can be written as

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where  $[\pi^{\otimes L} \circ \Delta^{L-1} (a), \Omega^{(L)}] = 0, \forall a \in \mathcal{A}$ .

Recall that the structure theorem on the fixed-point is given as

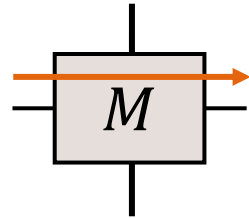
$$\rho_{\text{fixedpoint}} = \bigoplus_{i=1}^d \lambda_i P_i e^{-\beta \sum_k h_{k,k+1}} \quad \left[ P_i, \sum_k h_{k,k+1} \right] = [h_{k,k+1}, h_{l,l+1}] = 0.$$

# Proof: KI decomposition and canonical form

- Each tensor has a canonical block form (up to a gauge transformation).

$$M^{ij} \mapsto XM^{ij}X^{-1}$$

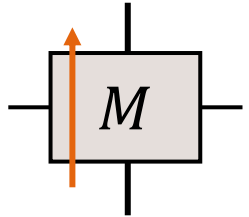
## Horizontal canonical form



$$M = \sum_{ij} |i\rangle\langle j| \otimes M^{ij}$$

$$M^{ij} = \bigoplus_k \mu_k M_k^{ij} \cong \bigoplus_a M_a^{ij} \otimes N_a \quad (N_a)_{\eta\eta'} := \delta_{\eta\eta'} \mu_\eta$$

**Proposition [Cirac et al., '17]:**  $M$  is also in a canonical form in vertical direction



$$M = \sum_{\alpha\beta} W^{\alpha\beta} \otimes |\alpha\rangle\langle\beta|$$

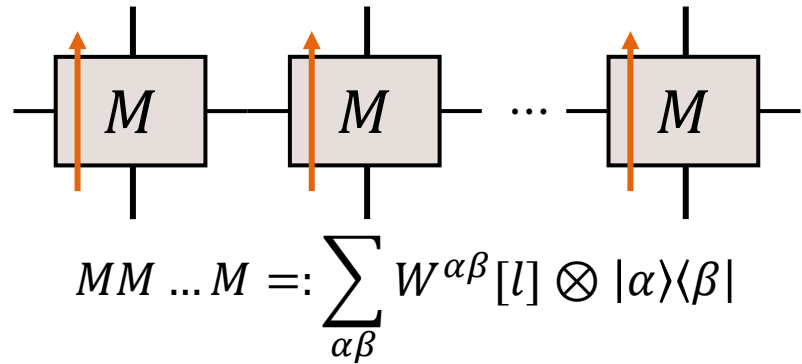
$$W^{\alpha\beta} \cong \bigoplus_a W_a^{\alpha\beta} \otimes \Omega_a$$

KI-decomposition of MPDO

$$\rho_{AB} = \bigoplus_i p_i \rho_{AB_i^L} \otimes \omega_{B_i^R}$$

# Proof: KI decomposition and canonical form

**Condition 1:**  $\mathcal{M}_B^S = \pi_l(\mathcal{A}), \quad \forall B, |B| = l.$



$$W^{\alpha\beta}[l] \cong \bigoplus_a \pi_l \left( \widehat{w}_a^{\alpha\beta}[l] \right) \otimes \Omega_a^{(l)}.$$

By definition and **Condition 2**,

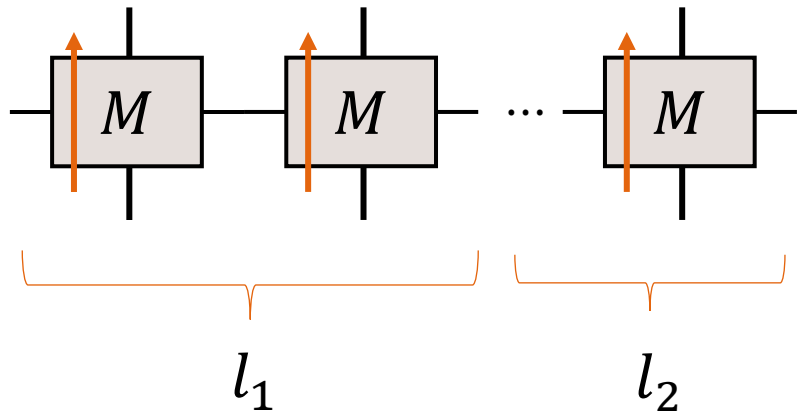
Omit the inclusion maps.

$$l_{l_1+l_2} \circ \pi_{l_1+l_2} = \left( \pi_{l_1} \otimes \pi_{l_2} \right) \circ \Delta \quad \Rightarrow \quad \pi_l = \pi_1^{\otimes l} \circ \Delta^{l-1}.$$

$$W^{\alpha\beta}[l] \cong \bigoplus_a \pi_1^{\otimes l} \circ \Delta^{l-1} \left( \widehat{w}_a^{\alpha\beta}[l] \right) \otimes \Omega_a^{(l)}.$$

# Proof: KI decomposition and canonical form

$$W^{\alpha\beta}[l] \cong \bigoplus_a \pi_1^{\otimes l} \circ \Delta^{l-1} \left( \widehat{w}_a^{\alpha\beta}[l] \right) \otimes \Omega_a^{(l)}.$$



$$W^{\alpha\beta}[l_1 + l_2] = \sum_{\gamma} W^{\alpha\gamma}[l_1] \otimes W^{\gamma\beta}[l_2]$$

Consistency between decomposition (\*) for LHS and RHS



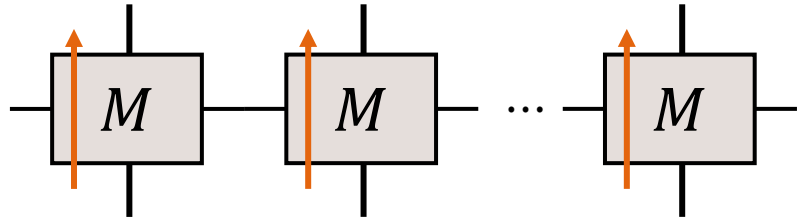
**Lemma:**  $\sum_{\gamma} V_{ab} \left( \pi_{l_1} \left( \widehat{w}_a^{\alpha\gamma}[l_1] \right) \otimes \pi_{l_2} \left( \widehat{w}_b^{\gamma\beta}[l_2] \right) \right) V_{ab}^{\dagger} = \bigoplus_c \Gamma_{ab}^c[l_1, l_2] \otimes \Omega_l^c$

This lemma factorizes  $\Omega_a^{(l)}$  into small pieces.

$$\Omega^{(L)} = \bigoplus_{c,a,b} \Gamma_{1,a_1,b_1}^c \otimes \Gamma_{2,a_2,b_2}^{b_1} \cdots \otimes \Gamma_{L-1,a_{L-1},a_L}^{b_{L-2}} \bigotimes_k^L \Omega_{a_k} \in \mathcal{B}(\mathbb{C}^{d^L})$$



# Construction of exact RG-transformation

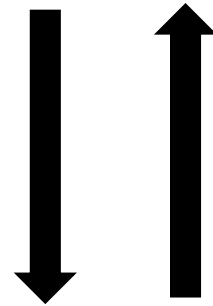


$$W^{\alpha\beta}[l] \cong \bigoplus_a \pi_1^{\otimes l} \circ \Delta^{l-1} \left( \widehat{w}_a^{\alpha\beta}[l] \right) \otimes \Omega_a^{(l)}.$$

$l$  - independent constant dimension

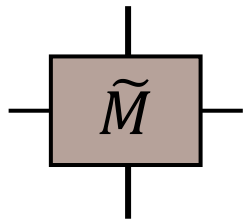
$$MM \dots M =: \sum_{\alpha\beta} W^{\alpha\beta}[l] \otimes |\alpha\rangle\langle\beta|$$

$\mathcal{E}_{l \rightarrow 1}$



$\mathcal{F}_{1 \rightarrow l}$

$\mathcal{E}_{l \rightarrow 1}, \mathcal{F}_{1 \rightarrow l}$ : CPTP-maps



$$\widetilde{W}^{\alpha\beta} \cong \bigoplus_a \frac{\text{tr} \Omega_a^{(l)}}{\text{tr} \Omega_a^{(1)}} \pi_1^{\text{new}} \left( \widetilde{w}_a^{\alpha\beta} \right) \otimes \Omega_a^{(1)}.$$

$$\widetilde{M} =: \sum_{\alpha\beta} \widetilde{W}^{\alpha\beta} \otimes |\alpha\rangle\langle\beta|$$

$$\pi_1^{\text{new}} \left( \widetilde{w}_a^{\alpha\beta} \right) := \pi_1^{\otimes l} \circ \Delta^{l-1} \left( \widehat{w}_a^{\alpha\beta}[l] \right)$$

# MPO-algebra from $\mathcal{A}$

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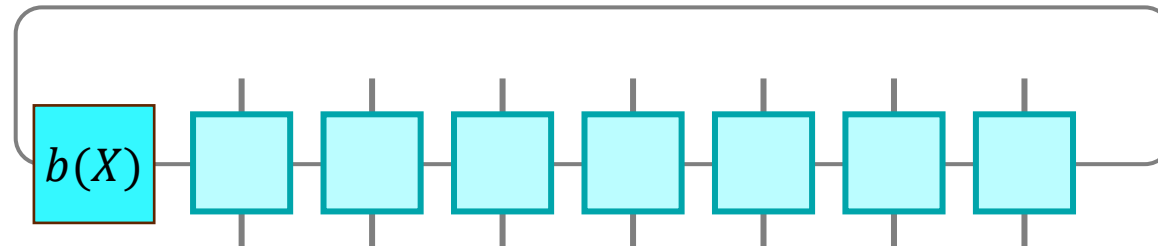
We have seen An exact RG-flow  $\Rightarrow$  a pre-bialgebra  $\mathcal{A}$ , an injective rep  $\pi$ .

[A. Molnar, et al., '22]:

$\pi^{\otimes L} \circ \Delta^{L-1}(\mathcal{A})$  has an MPO-realization of algebra  $\mathcal{A}$ .

$\forall X \in \mathcal{A}, \exists b(X) \in \text{Mat}_D,$

$$\pi^{\otimes L} \circ \Delta^{L-1}(X) =$$

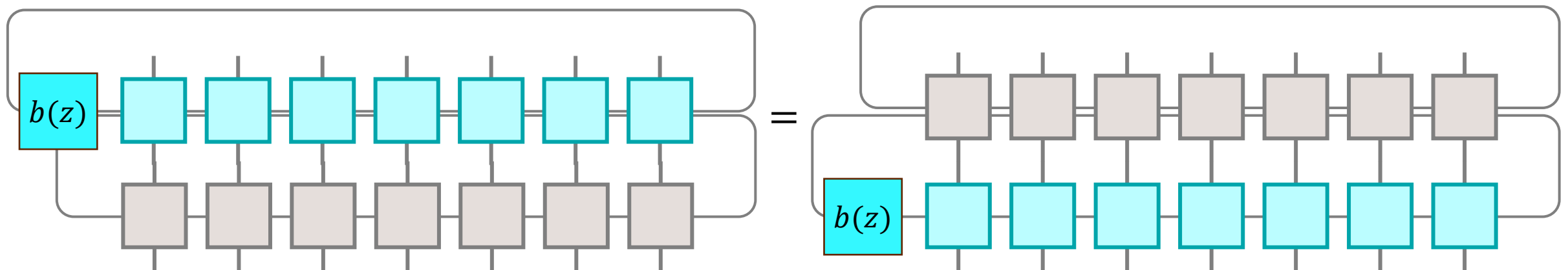


# Application: MPO-symmetry

Let  $\mathcal{Z}(\mathcal{A})$  be the center of  $\mathcal{A}$ . For any  $z \in \mathcal{Z}(\mathcal{A})$ , the MPDO  $\rho$  satisfies

$$\left[ \pi^{\otimes L} \circ \Delta^{L-1}(z), \rho \right] = \pi^{\otimes L} \circ \Delta^{L-1} \left( [z, w^{(L)}] \right) \Omega^{(L)} = 0.$$

\*Recall that  $\left[ \pi^{\otimes L} \circ \Delta^{L-1}(a), \Omega^{(L)} \right] = 0, \forall a \in \mathcal{A}$ .



Symmetry beyond group representation.

# Summary & Discussion

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## ► Summary

- We have studied **one-shot** and **exact** data compression of **mixed** quantum source
- We have obtained **a formula for the minimum achievable dimension**

## ► Future direction

### Many-body physics

- Application to **tensor-network states?**

### Quantum information

- How about one-shot **approximate** scenario?
- More sophisticated algorithm? Relation to entropic quantities?